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Commissioner for Patents
P.O. Box 1450,
Alexandria, VA 22313-1450

Barbara Villani
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Attorney Docket No. 03703/LH

17497 U.S. PTO
10/716904
111903

Pursuant to 37 CFR 1.53(b), transmitted herewith for filing is the patent application of

Inventor(s): Akira YAHAGI of Shiroishi-shi, Japan
Shigeru KASAI of Ichinoseki-shi, Japan
Tatsumi IDE of Kashiwa-shi, Japan
Michikazu KONDO of Ryuugasaki-shi, Japan

Title: "VARIABLE OPTICAL ATTENUATOR"

Priority Claim (35 U.S.C. 119) is made, based upon:

Japan No. 343581/2002 filed November 27, 2002

ASSIGNMENT INFORMATION FOR PUBLICATION:

- (1) NEC TOKIN Corporation
7-1, Koriyama 6-chome,
Taihaku-ku, Sendai-shi,
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- (2) NEC TOKIN Iwate, Ltd.
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Enclosed herewith are:

- [X] Specification (Description, Claims, Abstract): Pages 1 - 15 ; Number of claims 1 - 21
- [X] Declaration and Power of Attorney [X] executed; [] unexecuted (supplied for information purposes)
- [X] 8 Sheets of drawings, Figures 1 - 8 [X] Formal [] Informal
- [X] Assignment and "Patents" Recordation Form Cover Sheet (PTO-1595) AND \$40. RECORDATION FEE.
- [X] Certified copy of priority document identified above
- [X] Information Disclosure Statement; [X] Form PTO/SB/08A
- [X] Change of Correspondence Address (Form PTO/SB/122)
- [X] Receipt Postcard

	Number Filed		Number Extra	Rate	Calculations
Total Claims	<u>21</u>	-20 =	<u>1</u>	x \$18.00 =	\$ <u>18.00</u>
Independent Claims	<u>1</u>	-3 =	<u>0</u>	x \$86.00 =	\$ <u>0.00</u>
MULTIPLE DEPENDENT CLAIMS				+ \$290.00 =	\$ <u>290.00</u>
				BASIC FEE	\$ <u>770.00</u>
				Total of above Calculations	\$ <u>788.00</u>

To the extent not tendered by check, authorization is given to charge any fees under 37 CFR 1.16 and 1.17 during pendency of the application, or to credit any overpayment, to Deposit Account No. 06-1378. Duplicate copy of this letter is enclosed.

FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.

By: *DOUGLAS HOLTZ*
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LH:bv

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	Filing Date	Herewith
	First Named Inventor	YAHAGI
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☐ Applicant/Inventor.

☐ Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ Attorney or Agent of record. **Registration No. 22,974**

☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____.

Typed or Printed
Name **Douglas Holtz, Reg. No. 33,902**

Signature 

Date **November 19, 2003** Telephone: **(212) 319-4900**

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☐ Total of _____ forms are submitted.

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